

ORIGINAL ARTICLE

Ultrafiltration in Decompensated Heart Failure with Cardiorenal Syndrome

Bradley A. Bart, M.D., Steven R. Goldsmith, M.D., Kerry L. Lee, Ph.D., Michael M. Givertz, M.D., Christopher M. O'Connor, M.D., David A. Bull, M.D., Margaret M. Redfield, M.D., Anita Deswal, M.D., M.P.H., Jean L. Rouleau, M.D., Martin M. LeWinter, M.D., Elizabeth O. Ofili, M.D., M.P.H., Lynne W. Stevenson, M.D., Marc J. Semigran, M.D., G. Michael Felker, M.D., Horng H. Chen, M.D., Adrian F. Hernandez, M.D., Kevin J. Anstrom, Ph.D., Steven E. McNulty, M.S., Eric J. Velazquez, M.D., Jenny C. Ibarra, R.N., M.S.N., Alice M. Mascette, M.D., and Eugene Braunwald, M.D.,
for the Heart Failure Clinical Research Network

ABSTRACT

BACKGROUND

Ultrafiltration is an alternative strategy to diuretic therapy for the treatment of patients with acute decompensated heart failure. Little is known about the efficacy and safety of ultrafiltration in patients with acute decompensated heart failure complicated by persistent congestion and worsened renal function.

METHODS

We randomly assigned a total of 188 patients with acute decompensated heart failure, worsened renal function, and persistent congestion to a strategy of stepped pharmacologic therapy (94 patients) or ultrafiltration (94 patients). The primary end point was the bivariate change from baseline in the serum creatinine level and body weight, as assessed 96 hours after random assignment. Patients were followed for 60 days.

RESULTS

Ultrafiltration was inferior to pharmacologic therapy with respect to the bivariate end point of the change in the serum creatinine level and body weight 96 hours after enrollment ($P=0.003$), owing primarily to an increase in the creatinine level in the ultrafiltration group. At 96 hours, the mean change in the creatinine level was -0.04 ± 0.53 mg per deciliter (-3.5 ± 46.9 μmol per liter) in the pharmacologic-therapy group, as compared with $+0.23\pm 0.70$ mg per deciliter (20.3 ± 61.9 μmol per liter) in the ultrafiltration group ($P=0.003$). There was no significant difference in weight loss 96 hours after enrollment between patients in the pharmacologic-therapy group and those in the ultrafiltration group (a loss of 5.5 ± 5.1 kg [12.1 ± 11.3 lb] and 5.7 ± 3.9 kg [12.6 ± 8.5 lb], respectively; $P=0.58$). A higher percentage of patients in the ultrafiltration group than in the pharmacologic-therapy group had a serious adverse event (72% vs. 57%, $P=0.03$).

CONCLUSIONS

In a randomized trial involving patients hospitalized for acute decompensated heart failure, worsened renal function, and persistent congestion, the use of a stepped pharmacologic-therapy algorithm was superior to a strategy of ultrafiltration for the preservation of renal function at 96 hours, with a similar amount of weight loss with the two approaches. Ultrafiltration was associated with a higher rate of adverse events. (Funded by the National Heart, Lung, and Blood Institute; ClinicalTrials.gov number, NCT00608491.)

From the Hennepin County Medical Center, Minneapolis (B.A.B., S.R.G.) and Mayo Clinic, Rochester (M.M.R., H.H.C.) — both in Minnesota; Duke Clinical Research Institute (K.L.L., A.F.H., K.J.A., S.E.M., E.J.V., J.C.I.) and Duke University Medical Center and Duke Heart Center (C.M.O., G.M.F.) — both in Durham, NC; Brigham and Women's Hospital (M.M.G., L.W.S., E.B.) and Massachusetts General Hospital (M.J.S.) — both in Boston; University of Utah, Salt Lake City (D.A.B.); Michael E. DeBakey VA Medical Center and Baylor College of Medicine, Houston (A.D.); University of Montreal and Montreal Heart Institute, Montreal (J.L.R.); University of Vermont, Burlington (M.M.L.); Morehouse School of Medicine, Atlanta (E.O.O.); and the National Heart, Lung, and Blood Institute, Bethesda, MD (A.M.M.). Address reprint requests to Dr. Bart at O5 HCMC, 701 Park Ave. South, Minneapolis, MN 55410, or at bartx006@umn.edu.

This article was published on November 6, 2012, at NEJM.org.

N Engl J Med 2012;367:2296-304.

DOI: 10.1056/NEJMoa1210357

Copyright © 2012 Massachusetts Medical Society.

THE ACUTE CARDIORENAL SYNDROME (type 1) is defined as worsening renal function in patients with acute decompensated heart failure.¹ It occurs in 25 to 33% of patients with acute decompensated heart failure and is associated with poor outcomes.^{1,2} Multiple processes contribute to the development of the acute cardiorenal syndrome, including extrarenal hemodynamic changes, neurohormonal activation, intrarenal microvascular and cellular dysregulation, and oxidative stress.¹ In some cases, intravenous diuretics, which are often administered in patients with acute decompensated heart failure,³ may directly contribute to worsening renal function.^{1,4,5} The use of diuretics to treat persistent congestion after the onset of worsening renal function may lead to further kidney injury.

Venovenous ultrafiltration is an alternative therapy in this setting. Potential advantages of ultrafiltration include greater control over the rate and volume of fluid removal, greater net loss of sodium, and less neurohormonal activation.⁶ Current treatment guidelines state that ultrafiltration is a reasonable approach in patients with congestion that is not responding to medical therapy (class IIa, level of evidence B).³ However, little is known about the safety and efficacy of ultrafiltration as compared with pharmacologic therapy in patients with acute decompensated heart failure complicated by acute cardiorenal syndrome and persistent congestion.⁴ Therefore, we designed the Cardiorenal Rescue Study in Acute Decompensated Heart Failure (CARRESS-HF) to compare the effect of ultrafiltration with that of stepped pharmacologic therapy on renal function and weight loss in patients with heart failure who have worsening renal function and persistent congestion.⁷

METHODS

STUDY OVERSIGHT

The National Heart, Lung, and Blood Institute (NHLBI)–sponsored Heart Failure Network conceived, designed, and conducted the CARRESS-HF. The trial protocol was approved by a protocol review committee and a data and safety monitoring board, both appointed by the NHLBI, and by the institutional review board at each participating site. All study-related activities, including the collection and analysis of the data, were coordinated by the data coordinating center at the Duke Clinical Research Institute. The first draft of the manuscript was written by the first author, and the

final draft was revised, reviewed, and approved by all the authors. All the authors assume responsibility for the overall content and integrity of the article. The authors, steering committee, and executive committee of the Heart Failure Network made the decision to submit the manuscript for publication and vouch for the data and analysis and for the fidelity of this report to the study protocol (which is available with the full text of this article at NEJM.org). CHF Solutions (Brooklyn Park, MN) provided limited financial support for the purchase of ultrafiltration filters but had no role in the conduct of the trial, analysis of the data, or interpretation of the results. No data or draft of the manuscript was shared with CHF Solutions before publication.

STUDY DESIGN

The design of and rationale for the trial have been described previously.⁷ The CARRESS-HF was a randomized trial that compared ultrafiltration with a strategy of diuretic-based stepped pharmacologic therapy. Patients who were hospitalized with acute decompensated heart failure as the primary diagnosis were eligible for enrollment. There was no exclusion criterion that was based on ejection fraction. All patients had worsened renal function (defined as an increase in the serum creatinine level of at least 0.3 mg per deciliter [26.5 μ mol per liter]) within 12 weeks before or 10 days after the index admission for heart failure. All patients were required to have at least two of the following conditions at the time of randomization: at least 2+ peripheral edema, jugular venous pressure greater than 10 cm of water, or pulmonary edema or pleural effusion on chest radiography. Patients with a serum creatinine level of more than 3.5 mg per deciliter (309.4 μ mol per liter) at the time of admission and those receiving intravenous vasodilators or inotropic agents were excluded from the study. A complete list of the trial inclusion and exclusion criteria is provided in the Supplementary Appendix, available at NEJM.org.

All study participants provided written informed consent before randomization. With the use of an automated Web-based system, patients were randomly assigned, in a 1:1 ratio, to either ultrafiltration therapy or pharmacologic therapy. A permuted-block randomization scheme was used, with stratification according to clinical site.

For patients assigned to ultrafiltration therapy, loop diuretics were to be discontinued for the duration of the ultrafiltration intervention. Fluid

status was managed by means of ultrafiltration with the use of the Aquadex System 100 (CHF Solutions) according to the manufacturer's specifications. Ultrafiltration was performed at a fluid-removal rate of 200 ml per hour. The addition of intravenous vasodilators or positive inotropic agents after randomization was prohibited unless they were deemed to be necessary as rescue therapy.

For patients assigned to stepped pharmacologic therapy, intravenous diuretics were used to manage signs and symptoms of congestion. Investigators were encouraged to decrease doses, increase doses, or continue current doses of diuretics as necessary to maintain a urine output of 3 to 5 liters per day. Recommendations regarding the use of intravenous vasodilators and inotropic agents for patients in whom the target urine output could not be attained were based on the individual patient's blood pressure, ejection fraction, and the presence or absence of right ventricular failure at 48 hours. The details of the stepped pharmacologic-therapy algorithm are provided in the Supplementary Appendix.

In both groups, the assigned treatment strategy was to be continued until the signs and symptoms of congestion in the patient were reduced to the best extent possible. Crossover was discouraged. Diuresis or ultrafiltration could be slowed or temporarily discontinued to address technical problems or clinical care requirements, as determined by the treating physician.

TRIAL END POINTS

The primary end point was the change in the serum creatinine level and the change in weight, considered as a bivariate response, between the time of randomization and 96 hours after randomization.⁷ The use of a bivariate primary end point (in which the change in serum creatinine level and the change in weight are considered simultaneously, with the results displayed on a two-dimensional grid) was intended to allow for the integration of two clinically important outcomes.⁸ Secondary end points included the rate of clinical decongestion and measures of global well-being and dyspnea. Clinical decongestion was defined as jugular venous pressure of less than 8 cm of water, no more than trace peripheral edema, and the absence of orthopnea. Global well-being and dyspnea were assessed with the use of a visual-analogue scale that ranged from 0 to 100, with higher scores indicating greater well-being and

less severity of dyspnea, respectively.⁹ A complete listing of secondary end points is provided in the Supplementary Appendix.

STATISTICAL ANALYSIS

On the basis of data from the Ultrafiltration versus Intravenous Diuretics for Patients Hospitalized for Acute Decompensated Congestive Heart Failure (UNLOAD) trial,¹⁰ the standard deviation of the change in weight at 96 hours was estimated to be 3.1 to 3.5 kg (6.8 to 7.7 lb), and the standard deviation of the change in the creatinine level at 96 hours was estimated to be 0.55 to 0.75 mg per deciliter (48.6 to 66.3 μ mol per liter). We estimated that with enrollment of 200 participants, the study would have more than 90% power to detect a difference of 0.5 SD between the treatment groups for each component of the bivariate primary end point.

The primary analyses were based on the intention-to-treat principle. Baseline characteristics are presented as means and standard deviations or medians and interquartile ranges. The response of each patient with respect to the bivariate primary end point was displayed on a two-dimensional grid representing changes in the creatinine level and changes in weight 96 hours after randomization. For the comparison of treatment groups in the primary analysis, we used a multivariate linear-regression model, adjusting for baseline weight and creatinine level.⁷ A 95% confidence region (an ellipse) for the mean bivariate response (change in creatinine level and change in weight) in each treatment group and for the average between-group difference in the bivariate response was identified with the use of the multivariate linear-regression model framework.^{8,11}

The primary analysis was based on results of creatinine testing performed at the core laboratory, when those were available; otherwise, the results of testing performed at local laboratories were used. Body weight was measured by research personnel with the use of a locally available scale. Site personnel were encouraged to use the same scale for all patients whenever possible (and otherwise, the same scale for all weight assessments of a particular patient) and to weigh patients in the morning before breakfast, with patients wearing hospital gowns and no shoes. In the case of patients for whom 96-hour data were missing owing to death or early discharge from the hospital (13 patients in each treatment group), the last-observation-carried-forward method was used

Table 1. Baseline Characteristics of the Patients.*

Characteristic	Pharmacologic Therapy (N=94)	Ultrafiltration (N=94)
Age — yr		
Median	66	69
Interquartile range	57–78	61–78
Male sex — no. (%)	68 (72)	73 (78)
White race — no. (%) †	67 (71)	72 (77)
Weight — lb		
Median	234	207
Interquartile range	190–292	172–265
Ejection fraction — %		
Median	35	30
Interquartile range	25–55	20–52
Hospitalization for heart failure in previous yr — no./total no. (%)	73/92 (79)	70/93 (75)
Ischemia as cause of heart failure — no. (%)	48 (51)	66 (70)
History of atrial fibrillation or flutter — no. (%)	48 (51)	54 (57)
Diabetes mellitus — no. (%)	63 (67)	61 (65)
Medications received before hospitalization		
ACE inhibitor or ARB — no. (%)	49 (52)	52 (55)
Beta-blocker — no. (%)	73 (78)	74 (79)
Aldosterone antagonist — no. (%)	17 (18)	21 (22)
Furosemide-equivalent diuretic		
Patients receiving medication — no. (%)	90 (96)	86 (91)
Furosemide-equivalent dose — mg/day		
Median	120	120
Interquartile range	80–160	80–240
Blood urea nitrogen — mg/dl		
Median	50.5	48.7
Interquartile range	39.0–64.0	39.5–66.0
Creatinine — mg/dl ‡		
Median	2.09	1.90
Interquartile range	1.71–2.65	1.57–2.37
Qualifying increase in creatinine — mg/dl §		
Median	0.46	0.43
Interquartile range	0.37–0.70	0.35–0.60
NT-proBNP — pg/ml ¶		
Median	4007	5013
Interquartile range	1128–8534	2310–10381

* There were no significant differences between the groups in the baseline characteristics listed here, with the exception of ischemia as the cause of heart failure ($P=0.007$). ACE denotes angiotensin-converting enzyme, ARB angiotensin-receptor blocker, and NT-proBNP N-terminal pro-brain natriuretic peptide. To convert the values for creatinine to micromoles per liter, multiply by 88.4. To convert the values for blood urea nitrogen to micromoles per liter, multiply by 0.357. To convert the values for weight to kilograms, multiply by 0.45.

† Race was self-reported.

‡ The creatinine values reflect the results of testing performed at the core laboratory only.

§ The qualifying increases in creatinine level reflect the results of testing performed at local laboratories.

¶ The reference range for NT-proBNP is 5 to 70,000 pg/ml.

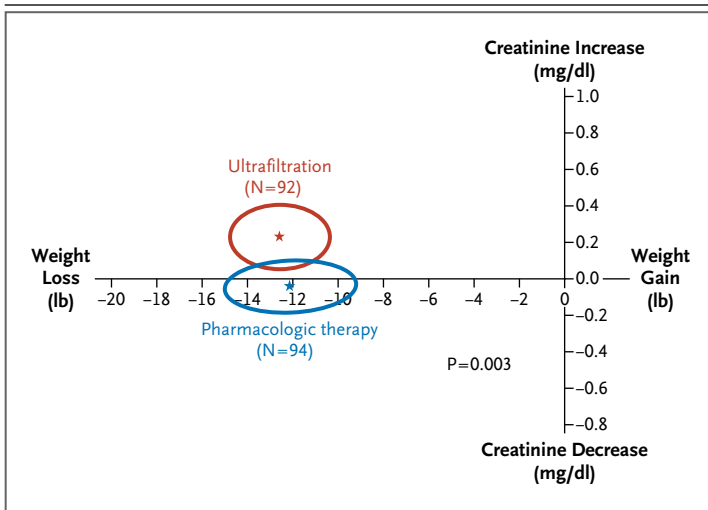


Figure 1. Changes in Serum Creatinine and Weight at 96 Hours (Bivariate Response).

The ellipses represent the 95% confidence regions and the stars the exact values for the mean changes in the serum creatinine level and weight at 96 hours in the ultrafiltration group and the pharmacologic-therapy group. Data from two patients who had been randomly assigned to the ultrafiltration group were excluded from the analysis: baseline creatinine measurements were missing for one patient, and all post-baseline creatinine measurements were missing for the other patient. To convert the values for creatinine to micromoles per liter, multiply by 88.4. To convert the values for weight to kilograms, multiply by 0.45.

for imputation of data on creatinine level and weight. Two patients who were randomly assigned to ultrafiltration were not included in the primary end-point analysis owing to missing baseline data on creatinine level (1 patient) or lack of all post-baseline data on creatinine level (1 patient). Cumulative event rates for secondary end points involving time-to-event data were estimated with the use of the Kaplan–Meier method.¹² Hazard ratios, their 95% confidence intervals, and P values for the comparison of the two treatment groups were determined with the use of the Cox regression model.¹³ A two-sided alpha level of 0.05 was considered to indicate statistical significance. All data analyses were conducted with the use of SAS software, version 9.2.

RESULTS

PATIENTS

Patients were enrolled in the trial between June 22, 2008, and January 27, 2012, at 22 sites in the United States and Canada. Enrollment ended on February 3, 2012, on the recommendation of the data and safety monitoring board, after 188 of

the planned 200 patients had been enrolled, because of a lack of evidence of benefit, as well as an excess of adverse events, with ultrafiltration.

A total of 94 patients were enrolled in each treatment group. The baseline demographic and clinical characteristics are shown in Table 1. The median age of the population was 68 years, 75% of the patients were men, 85% had hypertension, and 66% had diabetes mellitus. The median ejection fraction was 33%. A total of 77% of the patients had been hospitalized for heart failure during the previous year. The median time from the index hospital admission (the admission qualifying the patient for enrollment in the study) to random assignment to a treatment group was 34 hours. The qualifying serum creatinine value was obtained after admission to the hospital in 95% of the participants. The median qualifying increase in the creatinine level was 0.45 mg per deciliter (39.8 μ mol per liter).

STUDY TREATMENTS

All 94 patients in the pharmacologic-therapy group received intravenous diuretics. The median duration of the stepped pharmacologic-therapy intervention was 92 hours (interquartile range, 56 to 138). The primary reasons that stepped pharmacologic therapy was discontinued were the following: the best possible fluid volume was reached (72% of the patients), the creatinine level was increased (12%), there was evidence of intravascular volume depletion (3%), and blood pressure dropped or clinical instability developed (2%). Six participants (6%) in the pharmacologic-therapy group underwent ultrafiltration during the first 7 days (two of whom underwent ultrafiltration before the primary end-point assessment on day 4). In addition to receiving loop diuretics, 46% of the participants in the pharmacologic-therapy group received treatment with metolazone within the first 7 days, 5% were treated with intravenous vasodilators, and 12% were treated with intravenous inotropic agents before the day 4 assessment.

Ultrafiltration was started a median of 8 hours after random assignment, and the median duration of the treatment was 40 hours (interquartile range, 28 to 67). The primary reasons that ultrafiltration was stopped were the following: the best possible fluid volume was reached (50% of the patients), the creatinine level was increased (16%), difficulties developed with vascular access (9%), and thrombosis of the ultrafiltration

circuit developed (9%). Eight patients (9%) in the ultrafiltration group received intravenous diuretics instead of ultrafiltration, and an additional 28 (30%) received intravenous diuretics after ultrafiltration was stopped and before the 96-hour assessment. A total of 3% of the patients received vasodilators and 3% received intravenous inotropic agents before the day 4 assessment. Randomization, treatment, and follow-up of the patients are shown in Figure S1 in the Supplementary Appendix.

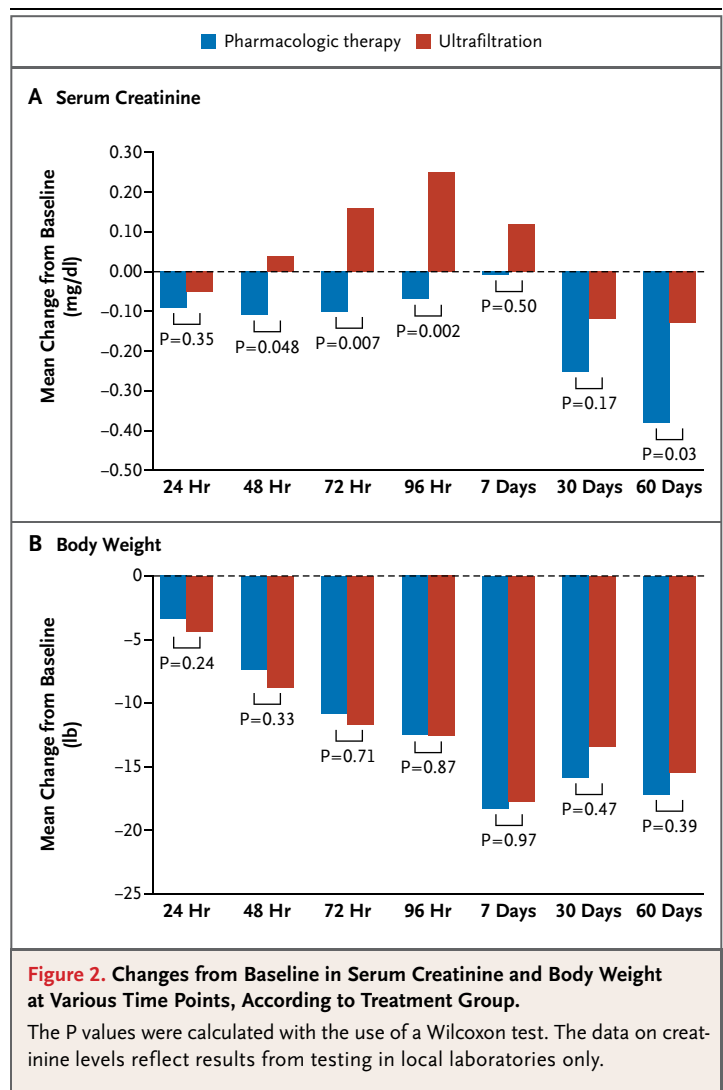
PRIMARY END POINT

There was a significant difference between the treatment groups in the bivariate end point of change in weight and change in serum creatinine level 96 hours after enrollment ($P=0.003$) (Fig. 1, and Fig. S2 in the Supplementary Appendix). This difference was due primarily to an increase in the serum creatinine level in the ultrafiltration group. At 96 hours, the mean change in the serum creatinine level from the level measured at the time of randomization was a decrease of 0.04 ± 0.53 mg per deciliter (3.5 ± 46.9 μmol per liter) in the pharmacologic-therapy group, as compared with an increase of 0.23 ± 0.70 mg per deciliter (20.3 ± 61.9 μmol per liter) in the ultrafiltration group ($P=0.003$). There was no significant difference between pharmacologic therapy and ultrafiltration with respect to the mean weight loss 96 hours after enrollment (5.5 ± 5.1 kg [12.1 ± 11.3 lb] and 5.7 ± 3.9 kg [12.6 ± 8.5 lb] in the two groups, respectively; $P=0.58$).

SECONDARY END POINTS

The changes from baseline in the creatinine level at 48, 72, and 96 hours and at 60 days differed significantly between the patients in the pharmacologic-therapy group and those in the ultrafiltration group (Fig. 2A). However, there were no significant differences between the treatment groups at the time of discharge or on day 7, whichever occurred first, or at the 30-day assessment. There were no significant between-group differences in weight at any of the time points (Fig. 2B).

The rate of clinical decongestion at 96 hours was low in the two treatment groups (9% with pharmacologic therapy and 10% with ultrafiltration, $P=0.83$) (Table 2). Within the first 7 days, there was no significant difference between the groups in the percentage of participants whose condition worsened (with worsening condition



defined as death, worsening or persistent heart failure, need for dialysis, or the occurrence of a serious adverse event) or who crossed over to alternate therapy (18% with pharmacologic therapy and 23% with ultrafiltration, $P=0.45$) or the change in the furosemide-equivalent dose of diuretics (an increase of 2.2 mg per day in the pharmacologic-therapy group and a decrease of 20.6 mg per day in the ultrafiltration group, $P=0.18$). At 96 hours and at day 7 or hospital discharge, there were no significant between-group differences in scores on the dyspnea and global well-being visual-analogue scales (Table 2). The total fluid output differed significantly between the two groups on the second day after randomization but not on days 1, 3, or 4 (Fig. S3 in the Supplementary Appendix).

Table 2. Secondary End Points.*

End Point	Pharmacologic Therapy (N=94)	Ultrafiltration (N=94)	P Value
Significant body weight loss and renal improvement — no. (%)†			
At 96 hr	20 (21)	16 (17)	0.62
At 7 days	20 (21)	15 (16)	0.52
Worsening condition or crossover during the first 7 days — no./total no. (%)‡	17/94 (18)	21/93 (23)	0.45
Clinical decongestion at 96 hr — no./total no. (%)§	7/80 (9)	8/82 (10)	0.83
Change in sodium from baseline to 96 hr — mmol/liter	0.0±3.6	-2.3±3.5	<0.001
Change in hemoglobin from baseline to 96 hr — g/dl	0.38±0.76	-0.01±0.92	0.002
Change in NT-proBNP from baseline to 96 hr — pg/ml	-979±2902	-814±9239	0.30
Change in cystatin C from baseline to 96 hr — mg/liter	0.14±0.52	0.22±0.52	0.37
Change in blood urea nitrogen from baseline to 96 hr — mg/dl	5.68±18.29	12.54±24.81	0.02
Change in glomerular filtration rate from baseline to 96 hr — ml/min/1.73 m ²	1.67±10.94	0.93±14.60	0.66
Change in score on global well-being scale from baseline to 96 hr¶	22.8±25.8	13.7±27.9	0.33
Change in score on dyspnea assessment scale from baseline to 96 hr¶	20.5±27.8	16.5±29.2	0.57
Total net fluid loss from randomization to 96 hr — ml	7082±4183	7443±4329	0.59
Change in furosemide-equivalent dose from preadmission to discharge — mg/day	2.2±166.5	-20.6±116.0	0.18
Death — no. (%)	13 (14)	16 (17)	0.55
Hospitalization — no./total no. (%)			
For heart failure	24/93 (26)	23/90 (26)	0.97
For any cause	37/93 (40)	46/90 (51)	0.12
Unscheduled emergency department or clinic visit — no./total no. (%)	13/93 (14)	19/90 (21)	0.21

* Plus-minus values are means ±SD.

† Significant weight loss was defined as a loss of 3 kg (6.6 lb) or more; significant renal improvement was defined as a decrease in the creatinine level of 0.3 g per deciliter (27 μmol per liter) or more.

‡ Worsening condition was defined as death, worsening or persistent heart failure, need for dialysis, or the occurrence of a serious adverse event.

§ Clinical decongestion was defined as jugular venous pressure of less than 8 cm of water, no more than trace peripheral edema, and the absence of orthopnea.

¶ Global well-being and dyspnea were assessed with the use of a visual-analogue scale that ranged from 0 to 100, with higher scores indicating greater well-being and lesser severity of dyspnea, respectively.⁹

SERIOUS ADVERSE EVENTS AND CLINICAL OUTCOMES

A higher percentage of patients in the ultrafiltration group than in the pharmacologic-therapy group had a serious adverse event over the 60-day period of follow-up (72% vs. 57%, $P=0.03$). The higher percentage in the ultrafiltration group was attributable mainly to higher incidences of kidney failure, bleeding complications, and intravenous catheter-related complications (Table 3).

The 60-day estimated mortality was 17% in the ultrafiltration group, as compared with 13% in the pharmacologic-therapy group ($P=0.47$)

(Fig. S4 in the Supplementary Appendix). There was no significant difference in the composite rate of death or rehospitalization for heart failure (38% and 35%, respectively; $P=0.96$) (Fig. S5 in the Supplementary Appendix) or in the composite rate of death or rehospitalization for any reason (61% and 48%, respectively; $P=0.12$) (Fig. S6 in the Supplementary Appendix).

DISCUSSION

In CARRESS-HF, we compared ultrafiltration with diuretic-based therapy in patients with acute de-

compensated heart failure and worsened renal function. The serum creatinine level 96 hours after enrollment was significantly increased in the ultrafiltration group as compared with the pharmacologic-therapy group but the weight loss was not significantly greater. There were also no significant between-group differences in weight loss, mortality, or the rate of hospitalization for heart failure during the 60-day follow-up period. Given the high cost and complexity of ultrafiltration, the use of this technique as performed in the current study does not seem justified for patients hospitalized for acute decompensated heart failure, worsened renal function, and persistent congestion.

The reason for the early rise in the creatinine level in the patients who underwent ultrafiltration is unclear. It is possible that these patients had transient intravascular volume depletion during ultrafiltration. Previous studies examining plasma refill rates in patients with heart failure have shown that rates of volume removal greater than 200 ml per hour, which was the rate used in our trial, are not associated with adverse effects.¹⁴ The duration of ultrafiltration was longer in the present study than it was in other trials,^{10,14,15} a fact that may have contributed to the increase in the creatinine level at 96 hours in the patients who received this treatment. At 30 days and at 60 days, the mean creatinine level was below the baseline level in both treatment groups. The lesser reduction in the creatinine level in the ultrafiltration group may be real, but it may also represent a chance finding or an imbalance in baseline features between the two treatment groups, or it may be the result of other events influencing kidney function that may have occurred after the patients' discharge from the hospital.

On the basis of prior studies of the use of ultrafiltration in patients with acute decompensated heart failure,^{10,15,16} we anticipated that the patients in the ultrafiltration group would lose more weight than would those in the pharmacologic-therapy group. Ultrafiltration was discontinued early owing to multiple reasons other than attainment of a satisfactory fluid volume, a finding that shows the complexity of the use of ultrafiltration in patients with acute decompensated heart failure and the cardiorenal syndrome. Patients in the pharmacologic-therapy group had substantial diuresis as a result of the aggressive use of diuretics and adjuvant therapies.

Table 3. Serious Adverse Events.

Event	Pharmacologic Therapy (N = 94)	Ultrafiltration (N = 94)
	no. of patients (%)	
Any	54 (57)	68 (72)
Heart failure	28 (30)	31 (33)
Other cardiovascular disorder	5 (5)	6 (6)
Renal failure	14 (15)	17 (18)
Anemia or thrombocytopenia	5 (5)	8 (9)
Catheter-site hemorrhage	0	2 (2)
Electrolyte disorder*	3 (3)	0
Gastrointestinal hemorrhage	3 (3)	7 (7)
Pneumonia or other respiratory disorder	6 (6)	10 (11)
Sepsis, bacteremia, or cellulitis	4 (4)	8 (9)
Other	19 (20)	17 (18)

* Included in this category are hyperkalemia, hypokalemia, hyponatremia, hypocalcemia, and hyperuricemia.

The rates of death and rehospitalization did not differ significantly between the two treatment strategies, despite the increase in the creatinine level at 96 hours in the ultrafiltration group. Several retrospective studies have shown an association between worsening renal function and poor outcomes.¹⁷⁻¹⁹ Other trials, however, indicate that the relationships among the degree of clearing of congestion, changes in renal function, and outcomes are less clear.^{10,20-23} In the Diuretic Optimization Strategies Evaluation (DOSE) trial, worsening renal function in the high-dose furosemide group was not associated with worse outcomes.²⁰ In the UNLOAD trial, a trend toward worsening renal function and greater weight loss in the ultrafiltration group was associated with a reduction in the rate of hospitalization for heart failure.¹⁰ The relationships among changes in renal function, degree of clearing of congestion, and outcomes in patients with acute decompensated heart failure are complex and require more study. In our trial, the rates of death or rehospitalization at 60 days were very high, showing the need for better therapies for this patient population.

Our study has several limitations. First, although the trial was randomized, the treatment assignments were not blinded, and biases on the part of study investigators may have affected the duration or relative intensity of ultrafiltration and pharmacologic therapy. Second, the safest and

most effective rates of fluid removal, the duration of therapy, and the conditions for termination of ultrafiltration are unknown. A different intensity of ultrafiltration might have resulted in more fluid loss in the ultrafiltration group; however, the effect of a different intensity on renal function and outcomes is unknown. Finally, the results of the strategies tested here may not apply to other patient populations with acute decompensated heart failure, such as patients with less severe cardiorenal syndrome.

In summary, we conducted a randomized trial involving patients hospitalized for acute decompensated heart failure, worsened renal function, and persistent congestion. We found that the use of a stepped pharmacologic-therapy algorithm was superior to a strategy of ultrafiltration for the

preservation of renal function, with the amount of weight loss at 96 hours similar with the two approaches. Ultrafiltration was associated with higher rates of adverse events.

The authors are solely responsible for the content of this article, which does not necessarily represent the official views of the National Heart, Lung, and Blood Institute or the National Institutes of Health.

Supported by grants from the National Heart, Lung, and Blood Institute (U10HL084904 [for the data coordinating center], U10HL084861, U10HL084875, U10HL084877, U10HL084889, U10HL084890, U10HL084891, U10HL084899, U10HL084907, and U10HL084931 [for the clinical centers]).

Disclosure forms provided by the authors are provided with the full text of this article at NEJM.org.

We thank the patients who participated in this study, the Heart Failure Network site investigators and coordinators, the members of the Heart Failure Network data and safety monitoring board and protocol review committee, and the National Heart, Lung, and Blood Institute representatives — all of whom are listed in the Supplementary Appendix.

REFERENCES

- Ronco C, Ciccoira M, McCullough PA. Cardiorenal syndrome type 1: pathophysiological crosstalk leading to combined heart and kidney dysfunction in the setting of acutely decompensated heart failure. *J Am Coll Cardiol* 2012;60:1031-42.
- Metra M, Davison B, Bettari L, et al. Is worsening renal function an ominous prognostic sign in patients with acute heart failure? The role of congestion and its interaction with renal function. *Circ Heart Fail* 2012;5:54-62.
- Hunt SA, Abraham WT, Chin MH, et al. 2009 Focused update incorporated into the ACC/AHA 2005 Guidelines for the Diagnosis and Management of Heart Failure in Adults: a report of the American College of Cardiology Foundation/American Heart Association Task Force on Practice Guidelines: developed in collaboration with the International Society for Heart and Lung Transplantation. *Circulation* 2009;119(14):e391-e479. [Erratum, *Circulation* 2010;121(12):e258.]
- Felker GM, Mentz RJ. Diuretics and ultrafiltration in acute decompensated heart failure. *J Am Coll Cardiol* 2012;59:2145-53.
- Freda BJ, Slawsky M, Mallidi J, Braden GL. Decongestive treatment of acute decompensated heart failure: cardiorenal implications of ultrafiltration and diuretics. *Am J Kidney Dis* 2011;58:1005-17.
- Bart BA. Treatment of congestion in congestive heart failure: ultrafiltration is the only rational initial treatment of volume overload in decompensated heart failure. *Circ Heart Fail* 2009;2:499-504.
- Bart BA, Goldsmith SR, Lee KL, et al. Cardiorenal rescue study in acute decompensated heart failure: rationale and design of CARRESS-HF, for the Heart Failure Clinical Research Network. *J Card Fail* 2012;18:176-82.
- Hotelling H. The generalization of Student's ratio. *Ann Math Stat* 1931;2:360-78.
- Grant S, Aitchison T, Henderson E, et al. A comparison of the reproducibility and the sensitivity to change of visual analogue scales, Borg scales, and Likert scales in normal subjects during submaximal exercise. *Chest* 1999;116:1208-17.
- Costanzo MR, Guglin ME, Saltzberg MT, et al. Ultrafiltration versus intravenous diuretics for patients hospitalized for acute decompensated heart failure. *J Am Coll Cardiol* 2007;49:675-83. [Erratum, *J Am Coll Cardiol* 2007;49:1136.]
- Multivariate linear regression models. In: Johnson RA, Wichern DW. *Applied multivariate statistical analysis*. 6th ed. Upper Saddle River, NJ: Pearson Prentice Hall, 2007:331-3.
- Kaplan EL, Meier P. Nonparametric estimation from incomplete observations. *J Am Stat Assoc* 1958;53:457-81.
- Cox DR. Regression models and life-tables. *J R Stat Soc, B* 1972;34:187-220.
- Marenzi G, Lauri G, Grazi M, Assaneli E, Campodonico J, Agostoni P. Circulatory response to fluid overload removal by extracorporeal ultrafiltration in refractory congestive heart failure. *J Am Coll Cardiol* 2001;38:963-8.
- Bart BA, Boyle A, Bank AJ, et al. Ultrafiltration versus usual care for hospitalized patients with heart failure: the Relief for Acutely Fluid-Overloaded Patients with Decompensated Congestive Heart Failure (RAPID-CHF) trial. *J Am Coll Cardiol* 2005;46:2043-6.
- Bart BA, Walsh MM, Blake D, Goldsmith SR. Ultrafiltration for cardiorenal syndrome. *J Card Fail* 2008;14:531-3.
- Butler J, Forman DE, Abraham WT, et al. Relationship between heart failure treatment and development of worsening renal function among hospitalized patients. *Am Heart J* 2004;147:331-8.
- Forman DE, Butler J, Wang Y, et al. Incidence, predictors at admission, and impact of worsening renal function among patients hospitalized with heart failure. *J Am Coll Cardiol* 2004;43:61-7.
- Owan TE, Hodge DO, Herges RM, Jacobsen SJ, Roger VL, Redfield MM. Secular trends in renal dysfunction and outcomes in hospitalized heart failure patients. *J Card Fail* 2006;12:257-62.
- Felker GM, Lee KL, Bull DA, et al. Diuretic strategies in patients with acute decompensated heart failure. *N Engl J Med* 2011;364:797-805.
- Testani JM, Chen J, McCauley BD, Kimmel SE, Shannon RP. Potential effects of aggressive decongestion during the treatment of decompensated heart failure on renal function and survival. *Circulation* 2010;122:265-72.
- O'Connor CM, Starling RC, Hernandez AF, et al. Effect of nesiritide in patients with acute decompensated heart failure. *N Engl J Med* 2011;365:32-43. [Erratum, *N Engl J Med* 2011;365:773.]
- Massie BM, O'Connor CM, Metra M, et al. Rolofylline, an adenosine A₁-receptor antagonist, in acute heart failure. *N Engl J Med* 2010;363:1419-28.

Copyright © 2012 Massachusetts Medical Society.